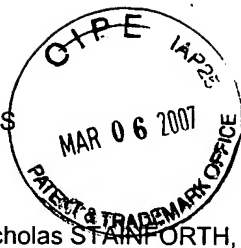


Mail Stop: AMENDMENT  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450



Docket No.: 478.1072  
Date: March 2, 2007


*TJW*

In re application of: John Nicholas STAINFORTH, et al.  
Application No.: 10/552,231  
Filed: April 21, 2006  
For: PHARMACEUTICAL COMPOSITIONS COMPRISING APOMORPHINE FOR PULMONARY INHALATION


Sir:

Transmitted herewith is an **Information Disclosure Statement (2 pages)** in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
- ☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
- ☐ No fee for additional claims is required.
- ☐ A filing fee for additional claims calculated as shown below, is required:
- ☒ Also transmitted herewith are:
  - ☐ Petition for extension under 37 C.F.R. 1.136
  - ☒ Other: - **Form PTO-1449 (1 page)**
  - **Copy of WO 00/37061 (22 pages)**
  - **Return Receipt Postcard**
- ☐ Check(s) in the amount of is/are attached to cover:
  - ☐ Filing fee for additional claims under 37 C.F.R. 1.16
  - ☐ Petition fee for extension under 37 C.F.R. 1.136
  - ☐ Other:
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
  - ☐ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
  - ☒ Any patent application processing fees under 37 C.F.R. 1.17.
  - ☐ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

  
Robert J. Paradiso, Reg. No. 41,240  
DAVIDSON, DAVIDSON & KAPPEL, LLC  
485 Seventh Avenue, 14<sup>th</sup> Floor  
New York, New York 10018  
Tel: (212) 736-1940  
Fax: (212) 736-2427

I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Mail Stop: AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on March 2, 2007.  
DAVIDSON, DAVIDSON & KAPPEL, LLC

BY:   
Oleg Ioselevich



478.1072

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No. : 10/552,231  
Applicant : John Nicholas Staniforth et al.  
Filed : April 21, 2006  
Art Unit : 1617  
Examiner : San Ming R HUI  
For : **PHARMACEUTICAL COMPOSITIONS  
COMPRISING APOMORPHINE FOR  
PULMONARY INHALATION**

Attorney Docket No.: 478.1072  
Customer No. : 23280

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 2, 2007

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the document listed on the accompanying Form PTO-1449 (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application.

Pursuant to 37 C.F.R. § 1.98 (a), a copy of the listed reference is enclosed.

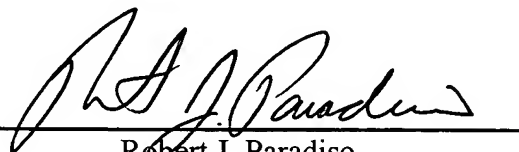
It is respectfully requested that this reference be considered and made of record.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (b), before the mailing of a first Office Action on the merits. Accordingly, it is believed that no fee is due. In the event any additional fee is due in connection with the filing of this

Information Disclosure Statement, the Commissioner is hereby authorized to charge said fee to our Deposit Account No. 50-0552.

Respectfully submitted,  
DAVIDSON, DAVIDSON & KAPPEL, LLC

By:

A handwritten signature in black ink, appearing to read "Robert J. Paradiso", is written over a horizontal line.

Robert J. Paradiso  
Reg. No. 41,240

DAVIDSON, DAVIDSON & KAPPEL, LLC  
485 Seventh Avenue, 14th Floor  
New York, New York 10018  
(212) 736-1940

--FORM PTO-1449  
(REV. 7-80)



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.:  
478.1072

SERIAL NO.:  
10/552,231

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S):  
John STANIFORTH et al.

FILING DATE:  
April 21, 2006

GROUP: 1617

#### U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|-----------------|------|------|-------|----------|-------------------------------|
|                      | AA |                 |      |      |       |          |                               |
|                      | AB |                 |      |      |       |          |                               |
|                      | AC |                 |      |      |       |          |                               |
|                      | AD |                 |      |      |       |          |                               |
|                      | AE |                 |      |      |       |          |                               |
|                      | AF |                 |      |      |       |          |                               |
|                      | AG |                 |      |      |       |          |                               |

#### FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|----------|---------|-------|----------|-------------|----|
|  |    |                 |          |         |       |          | YES         | NO |
|  | AH | 0 0 3 7 0 6 1   | 06/29/00 | WO      | A61K  | 31/00    |             |    |
|  | AI |                 |          |         |       |          |             |    |
|  | AJ |                 |          |         |       |          |             |    |
|  | AK |                 |          |         |       |          |             |    |

#### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | AL |  |
|  | AM |  |
|  | AN |  |
|  | AO |  |
|  | AP |  |
|  | AQ |  |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.